

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on April 25, 2002

Date of Deposit

Steven P. Shurtz

Name of applicant, assignee or  
Registered Representative

*Steven P. Shurtz*

Signature

4/25/02

Date of Signature



#3

COPY OF PAPERS  
ORIGINALLY FILED

Case No. 1391/1532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Sonya S. Johnson, et al.

Serial No.: 10/024,631

Filed: December 17, 2001

For: METHOD AND  
PRODUCT FOR  
ACCELERATING  
ABSORPTION OF  
MEDICAMENTS  
THROUGH ORAL  
MUCOSA

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

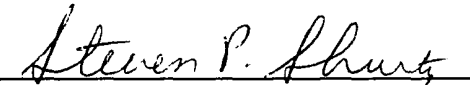
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 25, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Credit card charge authorizations totaling \$1,296 for:
- ☒ Filing Fee of \$740.
- ☒ Surcharge of \$130.
- ☒ Additional Claim Fees of \$426.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Information Disclosure Statement; Form PTO-1449; Assignment Recordation; Assignment and Fee.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: April 25, 2002

  
Steven P. Shurtz  
Registration No. 31,424  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/024,631	12/17/2001	Sonya S. Johnson	1391/1532

28455  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610

CONFIRMATION NO. 4072

## FORMALITIES LETTER



\*OC000000007523049\*

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$426.
  - \$342 for 19 total claims over 20.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1296.**

05/08/2002 NMOHAMMI 00000044 10024631

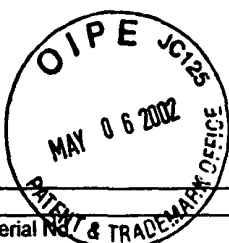
01 FC:101	740.00 01
02 FC:103	342.00 01
03 FC:102	84.00 01
04 FC:105	130.00 01

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS  
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#1761

TRANSMITTAL LETTER			Case No. 1391/1532
Serial No. 10/024,631	Filing Date December 17, 2001	Examiner Not Assigned	Group Art Unit 1761
Inventor(s) Sonya S. Johnson, et al.			
Title of Invention METHOD AND PRODUCT FOR ACCELERATING ABSORPTION OF MEDICAMENTS THROUGH ORAL MUCOSA			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Missing Parts; Copy of Notice; Declaration; Power of Attorney; Assignment Recordal and Assignment; Information Disclosure Statement; Form PTO-1449; Credit card charge authorizations in the amount of \$1,296 and \$40; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9=			x \$18=	
x 42=			x \$84=	
+\$140=			+ \$280=	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ Credit card charge card authorizations in the amount of \$1,296 and \$40 to cover the filing fee are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Steven P. Shurtz  
Steven P. Shurtz  
Registration No. 31,424  
Attorney for Applicant's

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P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
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Date: 4/25/02 Signature: Steven P. Shurtz